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PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0032

Approved for use through 9/30/2001, OMB 0651-003;
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERC

# NEW UTILITY PATENT APPLICATION TRANSMITTAL

(only for new nonprovisional applications under 37 CFR 1.53(b))

Fatent and Trademark Office. O.S. DEPARTMENT OF COMMERCE				
Attomey Docket Number	22593-06028	PTO		
First Named Inventor	Arman Glodjo	S.		
Title .	Global Electronic Trading System	02 U		
Express Mail Label No.	EI 566208053119	2		

	· · · · · · · · · · · · · · · · · · ·	EL30029003303			
APP	LICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS			
	smittal Form (in duplicate)	7. Assignment Papers (cover sheet & document(s))			
2. Applicant See 37 C	claims small entity status.	8. Certified Copy of Priority Document(s) (if foreign priority is claimed)			
3. X Specificat	tion Total Pages 82	9. Power of Attomey or Authorization of Agent			
■ Descriptiv ■ Cross Ref ■ Statement	ement set forth below) e Title of the Invention erence(s) to Related Case(s) t Regarding Fed sponsored R & D	<ul><li>10.  37 CFR 3.73(b) Statement</li><li>11.  Preliminary Amendment</li></ul>			
1	nd of the Invention mary of the Invention	12. Information Disclosure Statement & PTO-1449  Copies of IDS Citation(s)			
■ Brief Desc ■ Detailed D ■ Claim or C	•	13. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent			
Abstract o	f the Disclosure	14. Return Postcard			
4. Drawing(s	s) (35 U.S.C. Total Sheets 31	Petition to Accept Color Drawings Under 37 CFR § 1.84(a)(2)			
5. Combined Decl	laration and Power of Attorney Declaration Total Pages 3	16. Color drawings: Figs 13-22 (in triplicate)  17.			
	Executed (original or copy)				
(for continue) i. DE Sign	om a prior application (37 CFR 1.63(d)) ation/divisional with Box 18 completed)  LETION OF INVENTOR(S) ned statement attached deleting inventor(s) ned in the prior application, see 37 CFR 3(d)(2) and 1.33(b).				
6. Application	n Data Sheet. See 37 CFR 1.76	ADDRESS TO:			
, , , , , , , , , , , , , , , , , , ,		Box Patent Application			
		Commissioner for Patents			
Washington, D.C. 20231					
Application Data Sheet	18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:				
Continuation	,				
Prior application information: Examiner: Group/Art Unit:  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an eath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.					
19. CORRESPONDENCE ADDRESS					
Superior of Number and Bay Code					
Customer Number and Bar Code Label 00758					
Name (Print/Type)	Edward J. Radlo	Registration No. (Attorney/Agent) 26,793			
Signature	Edinal Wal				





PTO/SB/17 (10-01)(modified)
OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified) U.S. Department of Commerce Rev. 10/2001

Patent and Trademark Office

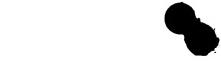
## **FEE TRANSMITTAL**

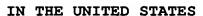
### **TOTAL AMOUNT OF PAYMENT**

Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$\) 2 212 00

Tatcht and Trademark Office: 0:0. DET ATTIMENT OF COMMENCE				
Complete if Known				
Application Number	not yet known			
Filing Date	November 7, 2001			
First Named Inventor	Arman Glodjo			
Group Art Unit	not yet known			
Examiner Name	not yet known			
Attomey Docket Number	22593-06028			

	METHOD OF I	PAVMENT	1	FEE CAI	LCULATION (continued)	
4 71 0					LCOLATION (continued)	
1. The Co	mmissioner is he	ereby authorized to:	3. ADDITIONA			
	Gharge the indicated f	fees to the below	Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description .	Fee Due
		•	105/\$130	205/\$65	Surcharge - late filing fee or oath	
	Charge any additional CFR 1.16 - 1.21 or cre to the below mentione	fee required under 37 edit any over payments	127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	
	Applicant claims small	·	147/ <b>\$2,520</b>	147/ <b>\$2,520</b>	For filing a request for reexamination	
	See 37 CFR 1.27	i enuty status	115/\$110	215/ <b>\$55</b>	Extension for response within first month†	
			116/\$400	216/ <b>\$200</b>	Extension for response within second month†	
	count Number: 19-2 count Name: FENV		117/\$920	217/\$460	Extension for response within third month†	
	e Copy of this author		118/ <b>\$1,440</b>	218/ <b>\$720</b>	Extension for response within fourth month†	
( <u>2</u> ) ⊠	Payment Enclose	ed:	128/ <b>\$1,960</b>	228/\$980	Extension for response within fifth month <sup>†</sup>	
		edit Card	119/\$320	219/ <b>\$160</b>	Notice of Appeal	
1, FILING	FEE	ees effective 10/01/2001) Fee Fee	141/ <b>\$1,280</b>	241/ <b>\$640</b>	Petition to revive unintentionally abandoned application	
Large Entity Fee Code/Fee	y Small Entity Fee Code/Fee	Fee Fee Description Due	142/\$1,280	242/ <b>\$640</b>	Utility Issue Fee (Or Reissue)	
101/\$740	201/\$370	Utility Filing 740	143/\$460	243/ <b>\$230</b>	Design Issue Fee	
106/\$330	206/\$165	Design Filing	. 122/\$130	122/\$130	Petitions to Accept Color Drawings	130
108/ <b>\$740</b>	208/\$370	Reissue	126/\$180	126/\$180	Submission of Information Disclosure Statement	
100/\$/40 5114/\$180	214/\$80	Provisional	179/\$740	279/ <b>\$370</b>	Request for Continued Examination (RCE)	
14.6		Filing	581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	40
L2 CLAIN	SUBTOTAL	. (1) (\$) 740	146/ <b>\$740</b>	246/ <b>\$370</b>	Filing a submission after final rejection (37 CFR 1.129(a))	
Large Entit		Fee Description	149/\$740	249/\$370	For each additional invention to be examined (37 CFR 1.129(b))	
103/\$18	203/\$9	Claims in excess of 20		Other fee (specify):		
102/\$84	202/\$42	Independent claims in excess of 3		Other fee (specify):		
104/\$280	204/\$140	Multiple dependent claim			SUBTOTAL (3) (\$) 1	70
109/\$84	209/\$42	Reissue independent claims over original patent	(Col. 1) No. of For Existing	(Col. 2) Highest Previou	No. Usty Extra** Fee	Fee Due
110/\$18	210/\$9	Reissue daims in excess of 20 and over original	Claims TOTAL 55	Paid For		630
		patent	INDEP 11	minus* 3 or 0	= 8 x 84 =	672
ļ ·			<u> </u>	entation of multiple depend		
* Subtract the greater number of Col. 2 SUBTOTAL (2) (\$) 1302  ** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3						
SUBMITTE			6		Complete (if applicable)	
Typed or Pr	rinted Name E	dward J. Radio	$-\rho H$		Reg. Number 26,793	
Signature	Edu	and Ma	ell		Date Nov-7	200/
		-			22593/06028/DOCS/1	220903.1





#### PATENT AND TRADEMARK OFFICE

APPLICANTS:

Arman Glodjo, Nathan D. Bronson, and Scott E.

Harrington

APPLICATION NO.:

not yet known

FILING DATE:

November 7, 2001

TITLE:

Global Electronic Trading System

**EXAMINER:** 

not yet known

GROUP ART UNIT:

not yet known

ATTY. DKT. NO.:

22593-06028

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#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Patent Application, Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: /Vov-/

Edward J. Radlo, Reg. No.: 26,793

BOX PATENT APPLICATION COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

# PETITION TO ACCEPT COLOR DRAWINGS UNDER 37 CFR §1.84(a)(2)

SIR:

Applicants hereby petition the United States Patent and Trademark Office to accept color drawings (Figures 13 through 22) in the enclosed utility application. Applicants respectfully submit that the use of color drawings is necessary to a complete understanding of the invention, because the color drawings represent computer screen shots seen by an agent using the invention, the computer screen shots actually seen by the agent





are in color, and color is necessary to distinguish among the many different items that are displayed on the computer screen.

Furthermore, several of the drawings show flags of various countries, including the flag of the United States of America. It would be disrespectful if we had to show the flag of the United States in black and white, rather than in red, white, and blue.

Enclosed herewith are:

- 1) The \$130.00 fee set forth in 37 CFR \$1.17(h).
- 2) Three sets of the drawings (Figs. 13-22) that are in color.
- 3) A black and white photocopy that accurately depicts, to the extent possible, the subject matter shown in the color drawings.
- 4) The specification as originally filed contains the language: "The file of this patent or application contains at least one drawing executed in color. Copies of this patent or patent application publication with color drawings will be provided by the USPTO upon request and payment of the necessary fee".

Respectfully submitted,

dhard Wall

date of signature:

Nov-7,200/

Edward J. Radlo Reg. No. 26,793

Attorney for Applicants

Fenwick & West LLP Two Palo Alto Square Palo Alto, CA 94306 Tel.: (650) 858-7142 enclosures

22593/06028/DOCS/1220975.1